

Gemma Margaretha Stevens – Disclosure Statement (Financial Adviser)

Name Financial Adviser: Gemma Margaretha Stevens
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It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser. I can give you advice about: category 2 products (as defined in section 5 of the Financial Advisers Act 2008) which include bank term deposits, bonus bonds and call debt securities. I can also provide advice to Wholesale Clients.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell my employer Taupo Moana Investments Limited so that my employer can try to fix the problem.

You may contact the internal complaints scheme by contacting The Manager at that Taupo Office on 07 378 7644 or by PO Box 688, Taupo 3351.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact the Disputes Resolution Scheme. This service will cost you nothing, and will help us resolve any disagreements. You can contact the Disputes Resolution Scheme at:

Financial Disputes Resolution (FDR) at—
Freepost 231075, PO Box 5730, Wellington 6011
Telephone number: 0508 337 337

Fax number: 04 918 4901
Email address: enquiries@fdr.org.nz
Website: www.fdr.org.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

Declaration

I, Gemma Margaretha Stevens, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed: _____



Please sign confirming that you have received and read my disclosure statement:

Name: _____ Signature: _____